

3PATENT
ATTORNEY DOCKET NO.: 041514-5344

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Tatsuya YOSHIKAWA et al.

U.S. Application No.: 10/509,079

Group Art Unit: Unassigned

International Appln. Filing Date: March 19, 2003

Examiner: Unassigned

Date of National Stage Entry: September 27, 2004

For: ORGANIC ELECTROLUMINESCENT DISPLAY PANEL

MAIL STOP PCT

Commissioner for Patents

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS
UNDER 35 U.S.C. § 371 IN THE U.S. DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. This replies to the Notification of Missing Requirements (Form PCT/DO/EO/905) mailed January 19, 2005.

A copy of the Notification of Missing Requirements (Form PTC/DO/EO/905) is enclosed.

2. Declaration Or Oath

- ☒ No declaration or oath was filed. Enclosed is the original Combined Declaration and Power of Attorney.
- ☐ The specification attached to the declaration is a copy of the specification and any amendments thereto which were filed in the PTO to obtain the filing date.
- ☐ The declaration or oath which was filed was determined to be defective. A new original Combined Declaration and Power of Attorney is attached.

3. English Translation of Non-English Language Papers

☐ Submitted herewith is a verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the PTO.

☐ Also enclosed is the Verification of Translation Document

4. Small Entity Statement(s)

☐ A statement claiming small entity status under 37 C.F.R. § 1.27 is asserted for the present application.

5. Fee Calculation

| | | | | |
|---|--------------|--------------|--|-----------------|
| | | | Basic Fee: PCT Application-\$300.00 | |
| | Number Filed | Number Extra | at a Rate of | |
| Total Claims | -20 = | | \$50.00 each= | |
| Independent Claims | - 3 = | | \$200.00 each= | |
| Multiple dependent claim(s), if any | | | \$360.00 | |
| Missing Requirements Surcharge Fee | | | \$130.00 | \$130.00 |
| | | | SUB-TOTAL = | \$130.00 |
| Assignment Recordation Fee | | | | \$40.00 |
| Reduction by ½ for filing by small entity | | | | |
| Fee For Application Filed With A Non-English Specification (37 C.F.R. § 1.17(k) and § 1.52(d)) | | | \$130.00 | +\$ |
| Fee For Processing and retention of application (37 C.F.R. § 1.21(l) and § 1.53(d)) | | | \$130.00 | +\$ |
| | | | TOTAL FILING FEE = | \$170.00 |

6. Extension of Time

The proceedings herein are for a patent application and the provisions of 37 C.F.R. § 1.136(a) apply.

- ☐ Applicants petition for an extension of time, the fees for which are set out in 37 C.F.R. §§ 1.17(a)-(d), for the total number of months checked below:

| <u>Total Months Requested</u> | <u>Fee for Extension</u> | <u>Fee for Small Entity</u> |
|---------------------------------------|--------------------------|-----------------------------|
| <input type="checkbox"/> one month | \$ 110.00 | \$ 55.00 |
| <input type="checkbox"/> two months | \$ 430.00 | \$215.00 |
| <input type="checkbox"/> three months | \$ 980.00 | \$490.00 |
| <input type="checkbox"/> four months | \$1,530.00 | \$765.00 |
| <input type="checkbox"/> five months | \$2,080.00 | \$1,040.00 |

Extension of time fee due with this request: \$

If an additional extension of time is required, please consider this a Petition therefor.

- ☒ Applicant believes that no extension of time is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

7. Fee Payment

| | | |
|-----------------------|-----------------|----------|
| The total fee due is: | Completion Fees | \$130.00 |
| | Assignment Fees | \$ 40.00 |
| | Total Fee Due | \$170.00 |

- ☒ Please charge Deposit Account No. 50-0310 in the amount of **\$170.00** representing the missing parts and assignment recordation fees.

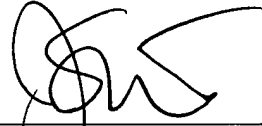
☒ **Except** for issue fees payable under 37 C.F.R. §1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R §1.16 and §1.17 which may be required, or credit any overpayment to Deposit Account No. 50-0310.

8. Additional papers enclosed.

- ☐ Form PCT/IPEA/409 (English Translation)
- ☐ Preliminary Amendment
- ☐ PCT/IB/338 English translation of the International preliminary Examination Report
- ☐ Information Disclosure Statement
- ☐ Form PTO-1449, _documents as listed
- ☐ Declaration of Biological Deposit
- ☐ Submission of "Sequence Listing", computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence.

Respectfully submitted,

MORGAN, LEWIS & BOCKIUS LLP



John G. Smith
Reg. No. 33,818

Date: March 17, 2005

Customer No. 09629

MORGAN, LEWIS & BOCKIUS LLP

1111 Pennsylvania Avenue, N.W.

Washington, D.C. 20004

Telephone: (202) 739-3000

Facsimile: (202) 739-3001



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

| | | |
|-----------------------------|-----------------------|------------------|
| U.S. APPLICATION NUMBER NO. | FIRST NAMED APPLICANT | ATTY. DOCKET NO. |
| 10/509,079 | Tatsuya Yoshizawa | 041514-5344 |

| |
|-------------------------------|
| INTERNATIONAL APPLICATION NO. |
|-------------------------------|

PCT/JP03/03313

| | |
|-----------------|---------------|
| LA. FILING DATE | PRIORITY DATE |
| 03/19/2003 | 03/29/2002 |

9629

MORGAN LEWIS & BOCKIUS LLP

1111 PENNSYLVANIA AVENUE NW

WASHINGTON, DC 20004

1/26/05 Attorney 765
41514-5344
3/19/05
Missing Reg.
LR BR

CONFIRMATION NO. 1357

371 FORMALITIES LETTER



OC000000014974435

Date Mailed: 01/19/2005

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 09/28/2004
- Copy of the International Search Report filed on 09/28/2004
- Copy of IPE Report filed on 09/28/2004
- Information Disclosure Statements filed on 09/28/2004
- U.S. Basic National Fees filed on 09/28/2004
- Priority Documents filed on 09/28/2004

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Late oath or declaration Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

JAN 26 2005

MORGAN, LEWIS & BOCKIUS LLP

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

PAULETTE R KIDWELL

Telephone: (703) 305-3656

PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
|-----------------------------|-------------------------------|------------------|
| 10/509,079 | PCT/JP03/03313 | 041514-5344 |

FORM PCT/DO/EO/905 (371 Formalities Notice)